

STATE TRUST

WEALTH MANAGEMENT

Fee Schedule Effective January 1, 2024

Fee Description	Total Fee	Fee Basis
Domestic Delivery (UPS, DHL, FedEx)	\$40.00	Per Item
International Delivery (UPS, DHL, FedEx)	\$120.00	Per Item
DWAC Charge	\$75.00	Per Item
DWAC Reject Charges	As Incurred	Per Item
Client Foreign Financial Intermediary (Omnibus) Due Diligence Review	\$1,000.00	\$200 / Hr. over 5 Hrs., per account
Safekeeping / Reconciliation / Vault Fee	\$60.00	Per Year (Charged Quarterly)
Re-Registration of Stock or Alternative Investment	\$50.00	Costs
Clearance or Transfer of Restricted Stock	\$250.00	Costs
Certificate Request DTC	\$1,000.00	Per Certificate
Rush Withdrawal DTC	\$150.00	Additional
Penny Stock Re-Registration w/Agent (Domestic)	\$250.00	Per Certificate
Penny Stock Re-Registration w/Agent (Canadian)	\$750.00	Per Certificate
Rejected Deposits DTC or Agent	\$185.00	Per Certificate
Transfer Agent Charges	As Incurred	Per Item
Long Positions (Sub Penny Book Entry)	\$0.0000006	Per Share, Monthly Basis
AXOS Assisted Dividend Posting (Asset not held at AXOS)	\$15.00	Per Item
Annual Custodial Fee	\$40.00	Per Plan
Transfers (Including Transfer or ROTH to/from Traditional IRA)	\$75.00	Per Transfer
Limited Partnerships / AIP	\$100.00	Per Position Annually, charged Quarterly

Termination Fee	\$50.00	Per Plan
Certificate of Deposit	\$25.00	Per Position / Per Customer
Cashless Stock Options	\$35.00	1% of Proceeds plus Cost, Per Item
Reg.-T Extension	\$25.00	Per Extension
TOD set-up fee	\$60.00	Each occurrence
TOD Distributions	\$200.00	Per Distribution
ACAT - Non-ACAT (Full or Partial) Transfer (Transfer Out plus Free Delivery charge for each position)	\$75.00	Per Account
Voluntary Tender/Exchange Offer/Bond Puts	\$35.00	Per Election
Bond Redemption/Conversion (Physical Only)	\$50.00	Plus Costs
Worthless Securities Processing Charges	\$20.00	Per Security Processed
Mandatory Reorg. Corporate Actions	\$25.00	Per Account
Dividend Reinvest	\$1.00	Per Dividend Reinvestment Entry
Cash Dividend Outgoing Check	\$10.00	Per Check
Cash Dividend Outgoing ACH	\$0.25	Per ACH
Manual Options Exercise Request	\$25.00	Per Request
Handling (Charge to Customer)*	\$20.00	Per Trade
Physical Settlements	\$100.00	Plus Costs
Free Deliveries DTC	\$25.00	Per Security
NY Window / Physical Delivery	\$50.00	Per Security
Trades Settled same-day (Cash Trades)	\$15.00	Per Trade
Transfer IN and OUT / Physical Certificate (Foreign)	\$150.00	Costs
Foreign Custody Fee	2.5 bps Annually* Subject to Market Change	
Stop Payments	\$25.00	Per Check

Returned Checks	\$40.00	Per Check
Photocopies of Checks	\$5.00	Per Check
Returned ACH Items	\$25.00	Per Return
Wire Transfer, Domestic (outgoing)	\$40.00	Per Wire
Wire Transfer, International (outgoing)	\$85.00	Per Wire
Wire Research Request / Service Messages	\$50.00	Per Request
Foreign Currency Conversions	\$50.00	Per Conversion
Foreign Currency Transaction Postings	\$25.00	Per Posting
Check Writing Stop Pays	\$25.00	Per Stop
CCPA Check writing and Platinum Card	\$60.00	Per Year
W8 processing and validation fee	\$60.00	New and Renewals