STATE TRUST

LETTER OF AUTHORIZATION INTERNAL TRANSFER

This form is used to transfer funds/securities internally from one brokerage account to another brokerage account at the same introducing broker dealer. This form is not intended for and will not be honored to make withdrawals from IRA accounts.

STEP 1: ACCOUNT INFORMATION

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Receiving (To) Account Number Account Title

Account Title (cont'd.)

Description/Symbol

Account activity, such as recent deposits or trading activity, may delay the transfer process.

For all gift transfers, the date of gift is the date the transfer is processed.

STEP 2: TRANSFER INSTRUCTIONS - PLEASE SELECT TOTAL OR PARTIAL TRANSFER

□ Total Transfer (All cash and securities)

Number of Shares

□ I am requesting to have the delivering account closed after the internal transfer occurs. □ Partial Transfer

□ One time only (Default) □ Standing Instruction	ons
🗖 Cash – 🗖 All	IRA Contributions Only
Specify Amount \$	🛛 Current Year 🗖 Prior Yea
Securities – as described below, attach a separate signed	d spreadsheet if more space is neede

TOTAL TRANSFERS DO NOT NEED TO LIST THE SECURITIES BELOW. ALL CASH, SECURITIES AND OR DEBIT BALANCES WILL BE MOVED.

PARTIAL TRANSFERS MUST SPECIFY
SECURITIES AND/OR CASH OR DEBIT
BALANCE.

STANDING INSTRUCTIONS ARE VALID FOR ONE YEAR FROM SIGNATURE DATE BELOW. NOT AVAILABLE FOR IRA ACCOUNTS.

CONTRIBUTIONS TO AN IRA ACCOUNT MUST BE MADE IN CASH.

Number of Shares	Description/symbol

SIGNATURES – ALL ACCOUNT HOLDERS MUST By affixing my signature below, I represent to Axos Clu the information contained above is truthful and accur	earing LLC and my brokerage firm that	
Delivering Account Holder Signature	Print Name	Date
×		
Delivering Account Holder Signature	Print Name	Date
×		
Receiving Account Holder Signature	Print Name	Date
×		
Receiving Account Holder Signature	Print Name	Date
×		

-ALL REGISTERED OWNERS ON YOUR BROKERAGE ACCOUNT ARE REQUIRED TO SIGN THE SAME FORM.

-FOR BUSINESS AND TRUST ACCOUNTS, SEPARATE SUPPORTING DOCUMENTATION CONFIRMING THE SIGNATURE AUTHORITY FOR THE BROKERAGE ACCOUNT IS REQUIRED. - IF A DEBIT BALANCE IS BEING TRANSFERRED THE RECEIVING ACCOUNT OWNER(S) MUST ALSO SIGN.

-CONTRIBUTIONS MADE TO AN IRA OR ROTH IRA MUST BE SIGNED BY THE IRA OR ROTH IRA ACCOUNT HOLDER.

- ALL REQUESTS INVOLVING AN IRA ACCOUNT MUST BE SUBMITTED TO THE IRA DEPARTMENT FOR PROCESSING. ALL OTHER REQUESTS GO TO MARGINS.

Date

SIGNATURE – GENERAL PRINCIPAL SIGNATURE General Principal Print Name

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